

## COMPLIANCE MONITORING PROGRAM

Compliance Monitoring forms the final phase in the compliance risk management process. Monitoring closes the loop which completes the compliance cycle, it provides feedback on the effectiveness of the management of compliance risks and identifies areas of weakness that need to be improved or enhanced. It is therefore highly recommended that individuals should have completed the Introduction to Compliance Management and the Compliance Risk Management Plan courses before registering for the Compliance Monitoring course.

### COURSE CONTENT WILL COVER THE FOLLOWING:

- Compliance Monitoring fits in as an element of the compliance process, a brief explanation of the compliance process and the development of Compliance Risk Management Plans (CRMPs).
- An overview of compliance monitoring, the need and objectives as well as consequences of non-compliance.
- Explaining the risk-based compliance monitoring approach with controls.
- Types of Compliance Monitoring
- Monitoring Tools
- The Compliance Monitoring process

### COURSE FORMAT:

This course is presented as an e-learning module and is available to all compliance officers who have done the Compliance Risk Management Plans program.

**COURSE DURATION:** 6 hours

**CPD HOURS :** Completion of the course entitles you to recognition of 3 (three) hours of Continuous Professional Development training.

**COURSE PAYMENT:** You will be invoiced upon registration for the course. Once payment is received, access to the program will be activated by the provider that is hosting the material.