



## INTRODUCTION TO COMPLIANCE COURSE

This is an introductory course, which provides an overview of, and a basic functional understanding of a variety of key compliance principles and activities. In addition, it covers the key principles and practices relating to the subject matter of compliance, and where relevant, those are supported by practical examples, activities and discussions.

### COURSE CONTENT WILL COVER THE FOLLOWING:

- Overview of the regulatory environment
- Compliance structures
- Compliance roles and responsibilities
- Relevant documents
- Risk management process overview

### COURSE FORMAT:

This course is presented as an e-learning module and is available to all new compliance officers. Application form available on the Compliance Institute website, under the events section.

**COURSE DURATION:** 4 hours

**CPD HOURS:** Completion of the course entitles you to recognition of 4 (four) hours of Continuous Professional Development training.

**COURSE PAYMENT:** You will be invoiced upon registration for the course. Once payment is received, access to the program will be activated by the provider that is hosting the material.