



COMPLIANCE  
INSTITUTE  
SOUTHERN AFRICA

## Course Outline

### Compliance risk management plans

#### At a glance:

Cost per person Incl. vat	CPD Points and Accreditation	Accreditations/Partnerships /Memberships	Method of Delivery / Format	Duration
Refer to the latest advertised events for current rates	12 hours	n/a	Virtual on Microsoft Teams	3 days – 4 hrs per day

#### What is covered:

Compliance Risk Management Plans (CRMP's) forms the basis of any compliance risk management program. By using CRMP's you improve the effectiveness of your compliance risk management process. The course focuses on the practical application of the CRMP process, and covers principles, methods, tools and options.

Outcomes / the content will cover the following:

- Effective compliance risk management process
- Key Principles and Considerations in Respect of Compliance Materiality Levels
- Develop and maintain a compliance universe
- Develop and maintain compliance risk identification, risk assessment and risk management
- Review, maintain and to use compliance risk management plans

#### Who should attend:

- Corporate clients
- Compliance officers
- Compliance administrators
- Novices to compliance management

#### Format of the course

- The training is held in a classroom style, either virtually or face-to-face.
- Theoretical training.



- Case studies form part of the learning process.

### **Bio of presenter/s:**

#### **Daryl Glass**



Daryl worked at the Liberty Group between 1992 and 2007 and served in various capacities including as Head of Forensic Services and Head of Group Compliance and Group Compliance Officer for all entities within the Group

Since 2007, Daryl has run a business consultancy practice whose main focus is on Governance, Risk, Compliance and Forensic services. He has provided services to a broad range of South African and international clients within both the private and private sectors across various industries. Daryl's focus is to equip and enable organisations, their governing bodies and management to efficiently and effectively manage all forms of risk and meet relevant leading practice requirements. He led the development of the Public Sector Compliance Framework as a consultant to National Treasury.

Daryl participates extensively in the development and delivery of the core compliance training programmes of the Compliance Institute of Southern Africa and also previously served as a Director of the Institute between 1999 and 2006. Daryl has and does serve in various capacities on or as an advisor to the boards of several other organisations.

Daryl is a Certified Compliance Professional and has a BCompt, MBA and Post-graduate diploma in Compliance Management.