

Event Name	Venue	Start Date	End Date	Organizer/Provider	Accreditation Number	Hours	Contact
Introduction to Compliance	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100013	12	www.compliancesa.com or 011-6427974 or enquiries@compliancesa.com
Compliance Risk Management Plans	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100014	12	
Compliance Monitoring	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100015	12	
Generally Accepted Compliance Practice Framework	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100016	6 - 8	
Asset Management Interest Group	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100017	2	
AML Focus Group	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100018	2	
FAIS Interest Group	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100019	2	
Public Sector Interest Group	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100020	2	
ICT Interest Group	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100021	2	
POPIA Masterclass series	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100022	7	
Women in Compliance Forum	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100023	1	
National Forum	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiF20220100024	2	
Young Professionals Steerco meeting	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiM20220100025	2	
Compliance Institute ETP Committee meeting	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiM20220100028	2	
Compliance Institute ARC Committee meeting	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiM20220100029	2	
Compliance Institute SERN Committee meeting	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiM20220100030	2	
Compliance Institute Legal and Standards Committee	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiM20220100031	2-3	
Compliance Institute FSCA Committee	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiM20220100032	2	
Work Lab: How to compile a regulatory universe and risk profile	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100033	10	
Work Lab: How to compile a risk management plan	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100034	10	
Work Lab: How to conduct monitoring	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100035	10	
Compliance Quality Assessment Improvement Programme	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100036	10	
Compliance Combined Assurance	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100037	2	
Compliance Institute Facilitator training	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100038	?	
A practical guide to compliance communication and report writing	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100040	8	
Compliance policy and framework training	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100041	10	
Annual Compliance Conference 2022	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100042	1 to 18 hours	
Compliance Institute SA Namibia Forum	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100048	2	
Understanding the FAIS Fit & Proper Competency Requirements	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100049	4	
Compliance Control assessment & identification training	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100050	15	
Ethics: Essentials of Behavioural Science for Compliance	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100051	8	
POPIA Crash Course	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100052	6	
POPIA Masterclass Episode in series: 1 - 10 (1,5 hours each episode)	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100053	1,5	
Learn to Love Compliance Again Episode in series: 1-6 (1 hour per episode)	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100053	1	
Compliance Institute of SA Training Service Provider Workshop	Facilitated virtual	2022/01/01	2022/12/31	Compliance Institute Southern Africa	CiE20220100047	3	
Introduction to Compliance	Online	2022/01/01	2022/12/31	Compliance Online	CoE2022010003	4	
Compliance Risk Management Plans	Online	2022/01/01	2022/12/31	Compliance Online	CoE2022010004	6	
Compliance Monitoring	Online	2022/01/01	2022/12/31	Compliance Online	CoE2022010005	6	
Environmental Law in a Nutshell	Online	2022/01/01	2022/12/31	Compliance Online	CoE2022010006	1	
Competition Law in a Nutshell	Online	2022/01/01	2022/12/31	Compliance Online	CoE2022010007	1	

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Consumer Protection Act (CPA)	Online	2021/05/01	2022/04/30	Compliance Online	CoE2021050006	8	www.complianceonline.co.za	
Ethics in the Workplace	Online	2021/05/01	2022/04/30	Compliance Online	CoE2021050007	3		
Financial Intelligence Centre Act (FICA)	Online	2021/05/01	2022/04/30	Compliance Online	CoE2021050008	1		
King IV and Corporate Governance	Online	2021/05/01	2022/04/30	Compliance Online	CoE2021050009	2		
National Credit Act (NCA)	Online	2021/05/01	2022/04/30	Compliance Online	CoE20210500010	1		
Occupational Health and Safety Act	Online	2021/05/01	2022/04/30	Compliance Online	CoE20210500011	3		
Protection of Personal Information Act (POPIA) with reference to the GDPR requirements	Online	2021/05/01	2022/04/30	Compliance Online	CoE20210500012	2		
Social Media in the Workplace	Online	2021/05/01	2022/04/30	Compliance Online	CoE20210500013	2		
Anti-Bribery and Corruption	Online	2021/05/01	2022/04/30	Compliance Online	CoE20210500014	2		
Compliance during COVID19	online	2021/06/01	2022/05/31	Compliance Online	CoE20210600016	1		
Companies Act and Directors' Duties	online	2021/07/01	2022/06/30	Compliance Online	CoE20210700017	1		
POPIA in a nutshell	Online	2021/11/01	2022/10/31	Compliance Online	CoE20211100017	1		
POPIA for IT	Online	2021/11/01	2022/10/31	Compliance Online	CoE20211100018	3		
Anti-money laundering (FICA online testing)	Online	2022/05/01	2023/04/30	Compliserve	CsE2022050001	4		www.compliserve.co.za
FICA compliance 2021	Facilitated online	2021/08/01	2022/07/31	Crux Consulting	CrCR2021080001	1	www.cruxconsulting.co.za	
FAIS AWARENESS TRAINING WORKSHOP	Online /facilitated	2021/07/01	2022/06/30	eComply	eCE2021070002	4	www.ecomply.co.za	
FICA AWARENESS TRAINING WORKSHOP	Online /facilitated	2021/07/01	2022/06/30	eComply	eCE2021070003	2		
RE1 REGULATORY EXAMINATION PREPARATION WORKSHOP FOR FSPs AND KEY INDIVIDUALS	Online	2021/07/01	2022/06/30	eComply	eCE2021070004	4		
RE3 REGULATORY EXAMINATION PREPARATION WORKSHOP	Online /facilitated	2021/07/01	2022/06/30	eComply	eCE2021070005	4		
POPIA Information Officer Awareness Workshop	Facilitated online	2021/06/01	2022/05/31	e-Comply	eCE2021060001	2		
POPIA Awareness Workshop	Facilitated online	2021/06/01	2022/05/31	e-Comply	eCE2021070006	2		
Advice and Intermediary Services in terms of FAIS	Online	2021/06/01	2022/05/31	Growth in Motion	GIe2021060005	2		www.growthinmotion.co.za
Disclosure Requirements	Online	2021/06/01	2022/05/31	Growth in Motion	GIe2021060006	2		
Representatives under Supervision	Online	2021/06/01	2022/05/31	Growth in Motion	GIe2021060007	3		
Debarment	Online	2021/06/01	2022/05/31	Growth in Motion	GIe2021060008	2		
The Role of the Key Individual	Online	2021/06/01	2022/05/31	Growth in Motion	GIe2021060009	3		
Ethical Decision Making	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800010	2		
Understanding the needs analysis	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800011	3		
Telephone Etiquette	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800012	2		
Remote Sales	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800013	2		
Handling Pressure and Digital Fatigue	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800014	3		
Salespeople and Client Characteristics	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800015	2		
Prospecting	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800016	2		
Business Ethics - A guide to professionals	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800017	3		
Diversity and Inclusion	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800018	3		
FAIS Regulatory Exam Preparation Workshop RE 3	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800019	6		
FAIS Regulatory Exam Preparation Workshop RE 4	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800020	7		
FAIS Regulatory Exam Preparation Workshop RE 1	Online	2021/08/01	2022/07/31	Growth in Motion	GIe20210800021	14		
Countering Fraud	Facilitated online	2022/02/01	2023/01/31	Growth in Motion	GIe2022010001	2		
Time Management	Online	2021/11/01	2022/10/31	Growth in Motion	GIe20211100023	2		
Stress Management	Online	2021/11/01	2022/10/31	Growth in Motion	GIe20211100024	2		
Power of Habit	Face to face / Virtual	2022/01/01	2022/12/31	Human Edge	HeE2022010002	8 to 9	www.humannedge.co.za	
Power of Habit	eLearning	2022/01/01	2022/12/31	Human Edge	HeE2022010003	4 to 6		
Change Anything	Face to face / Virtual	2022/03/01	2023/02/28	Human Edge	HeE2022010001	15 to 16		
Crucial Conversations for Mastering Dialogue (pre	Face to face / Virtual	2021/11/01	2022/10/31	Human Edge	HeE2021110002	15 or 16		
Crucial Conversations for Mastering Dialogue (pre	eLearning	2021/11/01	2022/10/31	Human Edge	HeE2021110004	6 to 8		
Crucial Conversations for Mastering Dialogue Add	Face to face / Virtual	2021/11/01	2022/10/31	Human Edge	HeE2021110005	8 to 9		
Crucial Conversations for Accountability	Face to face / Virtual	2021/11/01	2022/10/31	Human Edge	HeE2021110007	15 or 16		
Crucial Conversations for Accountability Add On	Face to face / Virtual	2021/11/01	2022/10/31	Human Edge	HeE2021110009	8 to 9		
Influencer The New Science of Leading Change	Face to face / Virtual	2021/11/01	2022/10/31	Human Edge	HeE20211100011	15 or 16		
Fit and Proper BN194 training for Key Individuals	Facilitated or e-learning	2021/11/01	2022/10/31	Moonstone	MoE2021110006	7	www.moonstone.co.za	
Basic Compliance for your Practice	eLearning	2022/01/28	2023/01/27	Moonstone	MoE2022010001	6		
Supervision requirements FAIS Notice 86 of 2018	eLearning	2022/01/28	2023/01/27	Moonstone	MoE2022010002	6		
Compliance Practice Legislation	eLearning	2022/01/28	2023/01/27	Moonstone	MoE2022010003	6		
The Expense of Customer Care	Online	2022/04/13	2023/04/12	Plumbline	PLE2022040001	2		

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The Regulatory Environment in Short Term Insura	Online	2022/05/01	2023/04/30	Plumblin	PLE2022050002	2	izak@plumblin.co.za
The Fundamentals of Business Interruption	Online	2022/04/09	2023/04/08	Plumblin	PeE2022040003	2	
Professional Ethics for Compliance Officers	Facilitated	2022/04/09	2023/04/08	ProEthics	PeE2022040001	7	www.proethics.co.za
Policies and Processes	Online	2022/01/01	2022/12/31	SDK Consulting	SdE2022010001	2	suel@mweb.co.za
FICA Awareness for Short term FSPs	Online	2022/01/01	2022/12/31	SDK Consulting	SdE2022010002	1	
POPIA & PAIA Awareness Training	online	2021/09/01	2022/08/31	SDK Consulting	SdE2021090004	3	